Evaluation of Advanced Performance Assessment Modeling Frameworks: Annotated Outline

**Fuel Cycle Research & Development** 

Prepared for U.S. Department of Energy Used Fuel Disposition G. Freeze, P. Gardner, P. Vaughn, S.D. Sevougian, P. Mariner, V. Mousseau Sandia National Laboratories

> August 2013 FCRD-UFD-2013-000218 SAND2013-6913P



#### DISCLAIMER

This information was prepared as an account of work sponsored by an agency of the U.S. Government. Neither the U.S. Government nor any agency thereof, nor any of their employees, makes any warranty, expressed or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness, of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. References herein to any specific commercial product, process, or service by trade name, trade mark, manufacturer, or otherwise, does not necessarily constitute or imply its endorsement, recommendation, or favoring by the U.S. Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the U.S. Government or any agency thereof.



Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000.

# FCT Quality Assurance Program Document

	Appendix E				
FCT Document Cover Sheet					
	Evaluation of Advanced Performance Assessment Modeling				
Name/Title of Deliverable/Milestone	Frameworks: Annotated Outline, M3FT-13SN0808062				
Work Package Title and Number	Advanced System Level Modeling, FT-13SN080806				
Work Package WBS Number	1.02.08.08				
Responsible Work Package Manager	Geoff Freeze				
	(Name/Signature)				
Date Submitted November 16, 2012					
Quality Rigor Level for QRL-3	$\Box$ QRL-2 $\Box$ QRL-1 $\Box$ N/A*				
Deliverable/Milestone	Nuclear Data				
This deliverable was prepared in accordance wit	h Sandia National Laboratories				
	(Participant/National Laboratory Name)				
QA program which meets the requirements of					
$\square$ DOE Order 414.1 $\square$ NQ.	A-1-2000				
This Deliverable was subjected to:					
C Technical Review	Peer Review				
Technical Review (TR)	Peer Review (PR)				
<b>Review Documentation Provided</b>	<b>Review Documentation Provided</b>				
Signed TR Report or,	Signed PR Report or,				
Signed TR Concurrence Sheet or,	Signed PR Concurrence Sheet or,				
Signature of TR Reviewer(s) below	Signature of PR Reviewer(s) below				
Name and Signature of Reviewers	1.5				
Robert MacKinnon Abbert Mocofinnon					

\*Note: In some cases there may be a milestone where an item is being fabricated, maintenance is being performed on a facility, or a document is being issued through a formal document control process where it specifically calls out a formal review of the document. In these cases, documentation (e.g., inspection report, maintenance request, work planning package documentation or the documented review of the issued document through the document control process) of the completion of the activity along with the Document Cover Sheet is sufficient to demonstrate achieving the milestone. QRL for such milestones may be also be marked N/A in the work package provided the work package clearly specifies the requirement to use the Document Cover Sheet and provide supporting documentation.

# CONTENTS

1.	INTRODUCTION	
2.	GENERIC SALT DISPOSAL SYSTEM MODEL	
	2.1 PA Model Framework	
	2.1.1 Conceptual Model Framework	
	2.1.2 Computational Framework	
3.	SUMMARY AND CONCLUSIONS	
4.	REFERENCES	

# FIGURES

Figure 2-1. Regions of Generic Disposal System	3
Figure 2-2. PA Model Framework Integrated Codes	5
Figure 2-3. DAKOTA Code Workflow and Capabilities	6
Figure 2-4. LIME Code Workflow and Capabilities	6
Figure 2-5. Disposal System Integrated Process Models	8

## ACRONYMS

ADSM	advanced disposal system modeling
DAKOTA	Design Analysis toolKit for Optimization and Terascale Applications
DOE	U.S. Department of Energy
EBS	engineered barrier system
FEP	feature, event, and process
FY	fiscal year
GDSM	generic disposal system modeling
HLW	high-level radioactive waste
HPC	high-performance computing
LIME	Lightweight Integrating Multi-Physics Environment
NBS	natural barrier system
NE	Office of Nuclear Energy
NRC	U.S. Nuclear Regulatory Commission
QA	quality assurance
THC	thermal-hydrologic-chemical
PA	performance assessment
PETSc	Portable Extensible Toolkit for Scientific Computation
R&D	research and development
UFDC	Used Fuel Disposition Campaign
UNF	used nuclear fuel
V&V	verification and validation

## 1. INTRODUCTION

The Used Fuel Disposition Campaign (UFDC) of the U.S. Department of Energy (DOE) Office of Nuclear Energy (NE) is conducting research and development (R&D) on generic deep geologic disposal systems (i.e., repositories) for high-activity nuclear wastes that exist today or that could be generated under future fuel cycles. The term high-activity waste (U.S. Nuclear Waste Technical Review Board 2011) refers collectively to both used nuclear fuel (UNF) from nuclear reactors and high-level radioactive waste (HLW) from reprocessing of UNF, and from other sources.

Generic Disposal System Modeling (GDSM) and Advanced Disposal System Modeling (ADSM) Work Package activities completed in Fiscal Year (FY) 2012 and prior years demonstrated the capability to perform generic disposal system simulations for salt, clay/shale, granite, and deep borehole disposal options. These capabilities are documented in Clayton et al. (2011), Freeze and Vaughn (2012), and Vaughn et al. (2013).

This report provides an annotated outline of specific activities performed in FY2013 contributing to the development of an advanced disposal system modeling capability. The report addresses the following ADSM Work Package milestone:

• Level 3 Milestone – Advanced Modeling Report (M3FT-13SN0808062)

Full text to address the annotated outline of this report will be part of the following GDSM Work Package milestone, to be completed in November 2013:

• Level 2 Milestone – Generic Disposal System Modeling Report (M2FT-13SN0808043)

The annotated outline for the advanced disposal system modeling capability is presented in Section 2. A summary and conclusions is presented in Section 3.

## 2. GENERIC SALT DISPOSAL SYSTEM MODEL

In FY2012, the requirements for an advanced performance assessment (PA) modeling capability were identified (Freeze and Vaughn 2012; Vaughn et al. 2013, Section 2) and an initial design and requirements for an advanced PA model to support safety assessments for the disposal of high-activity waste in a mined geologic repository at a generic salt site were described (Sevougian et al. 2012).

The continuing development of the advanced repository PA modeling capabilities is documented in this report. The documentation is in the form of an annotated outline. The annotated outline identifies the technical content which will be fully developed in a subsequent Level 2 Milestone, deliverable in November 2013.

The following definitions are provided to ensure consistent understanding of terminology used throughout the report:

- **Conceptual model**—A representation of the behavior of a real-world process, phenomenon, or object as an aggregation of scientific concepts, so as to enable predictions about its behavior. Such a model consists of concepts related to geometrical elements of the object (size and shape); dimensionality (one-, two-, or three-dimensional (1D, 2D, or 3D)); time dependence (steady-state or transient); applicable conservation principles (mass, momentum, energy); applicable constitutive relations; significant processes; boundary conditions; and initial conditions (NRC 1999, Appendix C).
- **Mathematical model**—A representation of a conceptual model of a system, subsystem, or component through the use of mathematics. Mathematical models can be mechanistic, in which the causal relations are based on physical conservation principles and constitutive equations. In empirical models, causal relations are based entirely on observations (NRC 1999, Appendix C).
- **Numerical model**—An approximate representation of a mathematical model that is constructed using a numerical description method such as finite volumes, finite differences, or finite elements. A numerical model is typically represented by a series of program statements that are executed on a computer (NRC 2003, Glossary).
- **Computer code**—An implementation of a mathematical model on a digital computer generally in a higher-order computer language ... (NRC 1999, Appendix C).

**Performance assessment (PA) model**—A PA model derives from the steps of a PA methodology (Meacham et al. 2011, Section 1): feature, event, and process (FEP) analysis; scenario construction; uncertainty quantification; and development of an integrated system model (incorporating conceptual, mathematical, and numerical model considerations). The PA model includes the mathematical and numerical implementation of the conceptual description of the disposal system components and their interactions. To perform calculations with a PA model, a computer code that implements the numerical model must be utilized.

## 2.1 PA Model Framework

This section will describe the advanced PA model framework supporting generic disposal system modeling. The two main components of a PA model framework are (Freeze and Vaughn 2012, Section 2):

- A conceptual multi-physics model framework that facilitates development of
  - a conceptual model of the important FEPs and scenarios that describe the multi-physics phenomena of a specific disposal system and its subsystem components, and
  - a mathematical model (e.g., governing equations) that implements the representations of the important FEPs and their couplings.

3

- A *computational framework* that facilitates integration of
  - the system analysis workflow (e.g., input pre-processing, integration and numerical solution of the mathematical representations of the conceptual model components, output post-processing), and
  - the supporting capabilities (e.g., mesh generation, input parameter specification and traceability, matrix solvers, visualization, uncertainty quantification and sensitivity analysis, file configuration management including verification and validation (V&V) and quality assurance (QA) functions, and compatibility with high-performance computing (HPC) environments).

The conceptual multi-physics model framework supports conceptual model development and integration of the various submodels of each of the disposal system components. Development of the conceptual model framework is described in Section 2.1.1. The computational framework supports the numerical model and computer code implementation, including advanced modeling and HPC considerations. Development of the computational framework is described in Section 2.1.2.

#### 2.1.1 Conceptual Model Framework

This section will describe the development of a generic repository conceptual model for a demonstration problem. The regions of a generic repository are shown in Figure 2-1. They include: the Engineered Barrier System (EBS); the Natural Barrier System (NBS) or Geosphere; and the Biosphere. Figure 2-1 schematically illustrates the nested 3D nature of the disposal system. The NBS completely surrounds the EBS (which encompasses the waste and emplacement tunnels, shown in red in the figure); radionuclides can be transported from the waste through the EBS and the NBS to the biosphere along multiple flow pathways.

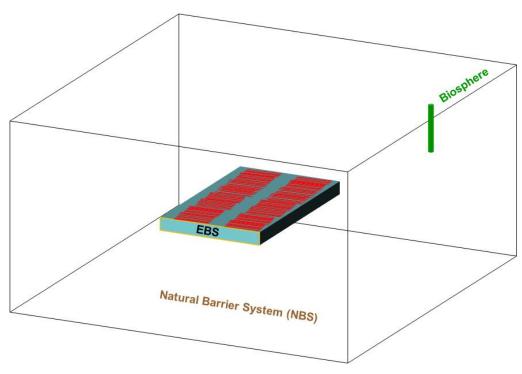


Figure 2-1. Regions of Generic Disposal System

Components of the conceptual model that will be described in this section include:

- Specification of the regions and features of the generic salt disposal system (Section 2.1.1.1)
- Identification and preliminary screening of potentially relevant FEPs (Section 2.1.1.2)
- Development of scenarios (undisturbed and disturbed) (Section 2.1.1.3)

Details of the annotated outline for this conceptual model framework section and subsections are provided in the following GDSM Work Package milestone (Freeze et al. 2013) and are not reproduced here:

• Level 4 Milestone – Generic Modeling of Deep Borehole and Salt (M4FT-13SN0808045)

### 2.1.2 Computational Framework

This section will describe the development of the computational framework supporting geenric repository model demonstration problem. Components of the computational framework that will be described include:

- System analysis workflow and computational capabilities (Section 2.1.2.1)
- Configuration management (Section 2.1.2.2)

### 2.1.2.1 System Analysis Workflow and Computational Capabilities

As outlined in Freeze and Vaughn (2012, Section 2.3), the system analysis workflow and computational capabilities control the development and execution of the integrated system PA model. Specific functions include:

- Input development and pre-processing (spatial and temporal discretization, mesh generation, input parameter specification and traceability including uncertainty)
- System model development and implementation (mathematical representations of process model FEPs and couplings, uncertainty quantification)
- Integrated system model execution (numerical representations of FEPs and couplings, data structure and matrix solvers)
- Output management and post-processing (analysis of results, visualization, sensitivity analyses)

This section will describe the implementation of the following open-source codes to perform these functions in support of the generic repository PA model:

- DAKOTA sensitivity analysis and uncertainty quantification
- LIME numerical coupling of multi-physics codes
- PFLOTRAN THC multi-physics flow and transport

The relationship between these codes is shown in Figure 2-2. In addition to the codes listed above, the following capabilities are also required:

- Source Term Definition An "EBS Evolution" code to represent the inventory, waste form, and waste package degradation multi-physics processes contributing to the radionuclide source term
- Biosphere Transport and Receptor Uptake A "Biosphere Receptor" code to represent the surface and biosphere processes contributing to the dose to a human receptor resulting from radionuclide releases from the NBS.

#### **Evaluation of Advanced Performance Assessment Modeling Frameworks: Annotated Outline** August 2013

- Mesh Generation Cubit or similar code
- Visualization VisIT or similar code
- Scripting Python scripts to process output data for analysis

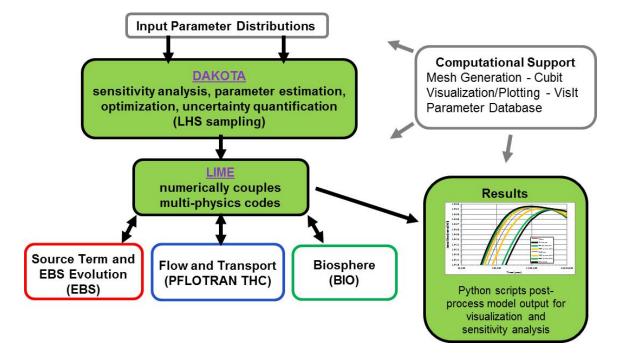


Figure 2-2. PA Model Framework Integrated Codes

Details of these codes are provided in subsequent subsections.

#### 2.1.2.1.1 DAKOTA

This section will describe the DAKOTA capabilities used to support an advanced PA model framework.

DAKOTA (Design Analysis toolKit for Optimization and Terascale Applications) (Adams et al. 2013a; Adams et al. 2013b) manages uncertainty quantification, sensitivity analyses, optimization, and calibration. Specific capabilities include (

Figure 2-3):

- Generic interface to simulations
- Extensive library of time-tested and advanced algorithms
- Mixed deterministic / probabilistic analysis
- Supports scalable parallel computations on clusters
- Object-oriented code; modern software quality practices

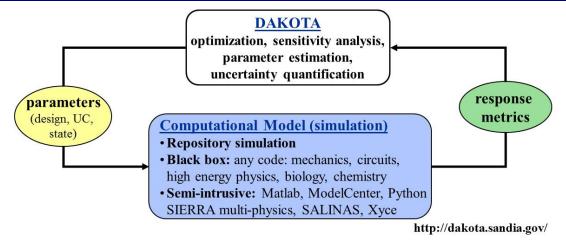


Figure 2-3. DAKOTA Code Workflow and Capabilities

### 2.1.2.1.2 LIME

This section will describe the LIME capabilities used to support an advanced PA model framework.

LIME (Lightweight Integrating Multi-Physics Environment) (Schmidt et al. 2011) provides a nonintrusive capability for the numerical coupling of multi-physics codes. Specific capabilities include (Figure 2-4):

- Operator-split coupling of legacy software
- Inherit existing QA of legacy software

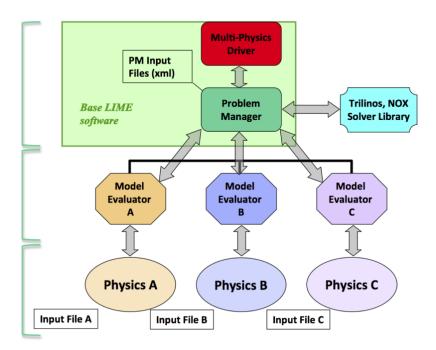


Figure 2-4. LIME Code Workflow and Capabilities

### 2.1.2.1.3 PFLOTRAN

This section will describe the PFLOTRAN capabilities used to support an advanced PA model framework.

PFLOTRAN is a multi-physics thermal-hydrologic-chemical (THC) simulator that is designed to take advantage of HPC capabilities. PFLOTRAN capabilities and applications are described in Mills et al. (2007), Lu and Lichtner (2007), Hammond et al. (2007; 2008; and 2011), and Lichtner and Hammond (2012). PFLOTRAN has proven useful in tackling challenging subsurface modeling and simulation problems, including the Hanford site (Hammond et al. 2008), and carbon sequestration modeling (Lu and Lichtner 2007).

PFLOTRAN is a massively parallel, multi-phase, multi-component reactive transport code that uses the Portable Extensible Toolkit for Scientific Computation (PETSc) framework as the basis for performing the parallel computations. PFLOTRAN is an open-source code that employs an object-oriented design based mainly on the Fortran 90 and Fortran 2003 languages. The flow and reactive transport capabilities in PFLOTRAN originally were implemented based on structured grids in the PETSc framework. However, recent development has been undertaken to employ structured Adaptive Mesh Refinement to provide high resolution where required, such as in an area in which a contaminant plume must be highly resolved within a large-scale flow and transport domain.

Specific PFLOTRAN capabilities for the simulation of generic disposal systems include:

- Multi-physics
  - Multi-phase flow
  - Multi-component transport
  - Chemical processes
  - Thermal and heat transfer processes
- High-Performance Computing (HPC)
  - Built on PETSc parallel solver library
  - Massively Parallel
  - Structured and Unstructured Grids
  - Scalable from Laptop to Supercomputer
- Modular design for easy integration of new capabilities

In a generic disposal system model, multi-physics representations are needed for the source term and EBS evolution, EBS and NBS flow and transport, and biosphere transport and receptor uptake. These processes are summarized in Figure 2-5.

For the initial repository demonstration problem, it is expected that PFLOTRAN will be used to simulate the source term/EBS evolution and the EBS/NBS flow and transport. The biosphere will not be simulated. As the conceptual model and computational frameworks evolve, it is expected that independent multiphysics codes for the source term and EBS evolution and for the biosphere will be incorporated. These multi-physics codes will be numerically coupled to the PFLOTRAN-based flow and transport modeling capabilities using LIME.

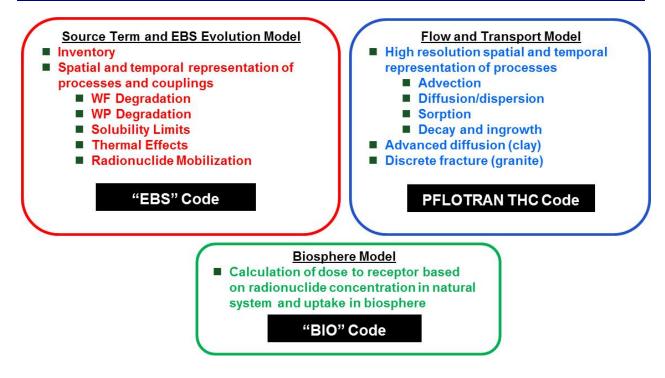


Figure 2-5. Disposal System Integrated Process Models

### 2.1.2.1.4 Source Term and EBS Evolution

A generic disposal system model must be able to represent the inventory, waste form, and waste package degradation processes contributing to the radionuclide source term.

This section will describe the PFLOTRAN source term and EBS evolution modeling capabilities used in support of the initial generic repository PA model and planned future implementation of a separate source term and EBS evolution code to support an advanced PA model framework.

Specific source term and EBS evolution processes include:

- Waste form degradation (UNF, HLW glass)
  - Radionuclide inventory, including decay chains.
  - PFLOTRAN Implementation: The waste form is defined as a "mineral" with the stoichiometry (i.e., radionuclide components) and density of UNF. The waste form mineral phase is defined to be unstable, i.e., it is specified to have large dissociation constants (log K). The degradation rate of the waste form is controlled by the rate of the dissociation reaction.
- Radionuclide solubility limits
  - Aqueous radionuclides that reach solubility limits precipitate as equilibrium secondary minerals; they can dissolve when aqueous concentrations subsequently fall below solubility limits.
  - Solubility calculations must account for fractional contributions of isotopes (i.e., congruent dissolution)
- Waste package degradation
  - Failure mechanisms and rates (e.g., corrosion rates)

In future iterations, independent multi-physics codes for the source term and EBS evolution will be evaluated and, where necessary, incorporated into the PA model framework.

#### 2.1.2.1.5 Biosphere and Receptor

A generic disposal system model must be able to represent the surface and biosphere processes contributing to the dose to a human receptor resulting from radionuclide releases from the NBS. For the initial generic repository demonstration problem, the biosphere will not be modeled.

This section will describe the planned future implementation of a separate biosphere and receptor code to support an advanced PA model framework.

### 2.1.2.2 Configuration Management

As outlined in Freeze and Vaughn (2012, Section 2.3), the configuration management component of the computational framework supports the following:

- Input development (parameter database, file access and storage)
- Output management (file access and storage)

This section will describe the configuration management tools and practices supporting the generic repository demonstration problem.

## 3. SUMMARY AND CONCLUSIONS

10

This section will summarize the development and application of the advanced PA model and discuss conclusions and future work to enhance the advanced PA modeling capabilities.

## 4. **REFERENCES**

Adams, B.M., M.S. Ebeida, M.S. Eldred, J.D. Jakeman, L.P. Swiler, W.J. Bohnhoff, K.R. Dalbey, J.P. Eddy, K.T. Hu, D.M. Vigil, L.E. Baumann, and P.D. Hough 2013a. *Dakota, a Multilevel Parallel Object-Oriented Framework for Design Optimization, Parameter Estimation, Uncertainty Quantification, and Sensitivity Analysis, Version 5.3.1+ User's Manual.* SAND2010-2183, Updated May 22, 2013. Sandia National Laboratories, Albuquerque, New Mexico. http://dakota.sandia.gov/

Adams, B.M., M.S. Ebeida, M.S. Eldred, J.D. Jakeman, L.P. Swiler, W.J. Bohnhoff, K.R. Dalbey, J.P. Eddy, K.T. Hu, D.M. Vigil, L.E. Baumann, and P.D. Hough 2013b. *Dakota, a Multilevel Parallel Object-Oriented Framework for Design Optimization, Parameter Estimation, Uncertainty Quantification, and Sensitivity Analysis, Version 5.3.1+ Theory Manual.* SAND2011-9106, Updated May 22, 2013. Sandia National Laboratories, Albuquerque, New Mexico. http://dakota.sandia.gov/

Carter, J. T., A. J. Luptak, J. Gastelum, C. Stockman, and A. Miller 2012. *Fuel Cycle Potential Waste Inventory for Disposition*. FCRD-USED-2010-000031, Rev. 5.

Clayton, D., G. Freeze, T. Hadgu, E. Hardin, J. Lee, J. Prouty, R. Rogers, W.M. Nutt, J. Birkholzer, H.H. Liu, L. Zheng, and S. Chu 2011. *Generic Disposal System Modeling – Fiscal Year 2011 Progress Report*. FCRD-USED-2011-000184, SAND2011-5828P. U.S. Department of Energy, Office of Nuclear Energy, Used Fuel Disposition Campaign, Washington, D.C.

Freeze, G. and P. Vaughn 2012. *Development of an Advanced Performance Assessment Modeling Capability for Geologic Disposal of Nuclear Waste: Methodology and Requirements*. SAND2012-10208. Sandia National Laboratories, Albuquerque, New Mexico.

Freeze, G., P. Gardner, P. Vaughn, S.D. Sevougian, P. Mariner, and V. Mousseau 2013. *Performance Assessment Modeling of a Generic Salt Disposal System: Annotated Outline*. FCRD-UFD-2013-000219. SAND2013-6183P. Sandia National Laboratories, Albuquerque, New Mexico.

Hammond, G., P. Lichtner, and C. Lu 2007. Subsurface multiphase flow and multicomponent reactive transport modeling using high performance computing. *Journal of Physics: Conference Series* 78, 1-10.

Hammond, G.E., P.C. Lichtner, R.T. Mills, and C. Lu 2008. Toward petascale computing in geosciences: application to the Hanford 300 Area, *Journal of Physics Conference Series*, 125, 012051 doi:10.1088/1742-6596/125/1/012051.

Hammond, G.E., P.C. Lichtner, C. Lu, and R.T. Mills. 2011. PFLOTRAN: Reactive Flow and Transport Code for Use on Laptops to Leadership-Class Supercomputers. In: F. Zhang, G.T. Yeh, and J. Parker (ed.) *Groundwater Reactive Transport Models*, Bentham Science Publishers.

Lichtner, P.C. and G. Hammond 2012. Quick Reference Guide: PFLOTRAN 2.0 (LA-CC-09-047) Multiphase-Multicomponent-Multiscale Massively Parallel Reactive Transport Code. DRAFT LA-UR-06-7048. Los Alamos National Laboratory, Los Alamos, New Mexico.

Lu, C. and P.C. Lichtner 2007. High resolution numerical investigation on the effect of convective instability on long term CO2 storage in saline aquifers, *Journal of Physics Conference Series*, 78, doi:10.1088/1742-6596/78/1/012042.

Mills, R., C. Lu, P.C. Lichtner, and G. Hammond 2007. Simulating Subsurface Flow and Transport on Ultrascale Computers using PFLOTRAN, *Journal of Physics Conference Series*, 78, 012051 doi:10.1088/1742-6596/78/1/012051.

Schmidt, R., N. Belcourt, R. Hooper, and R. Pawlowski 2011. *An Introduction to LIME 1.0 and its Use in Coupling Codes for Multiphysics Simulations*. SAND2011-8524. Sandia National Laboratories, Albuquerque, New Mexico.

Sevougian, S.D., G.A. Freeze, M.B. Gross, J. Lee, C.D. Leigh, P. Mariner, R.J. MacKinnon, and P. Vaughn 2012. *TSPA Model Development and Sensitivity Analysis of Processes Affecting Performance of a Salt Repository for Disposal of Heat-Generating Nuclear Waste*. FCRD-UFD-2012-000320 Rev. 0, U.S. Department of Energy, Office of Nuclear Energy, Used Nuclear Fuel Disposition, Washington, D.C.

U.S. Nuclear Regulatory Commission (NRC) 1999. *Regulatory Perspectives on Model Validation in High-Level Radioactive Waste Management Programs: A Joint NRC/SKI White Paper*. NUREG-1636. U.S. Nuclear Regulatory Commission, Washington, DC.

U.S. Nuclear Regulatory Commission (NRC) 2003. *Yucca Mountain Review Plan*. NUREG-1804, Revision 2. Office of Nuclear Material and Safeguards, Washington, DC.

U.S. Nuclear Waste Technical Review Board. 2011. Technical Advancements and Issues Associated with the Permanent Disposal of High Activity Wastes, Lessons Learned from Yucca Mountain and Other Programs. June.

Vaughn, P., G. Freeze, J. Lee, S. Chu, K.D. Huff, W.M. Nutt, T. Hadgu, R. Rogers, J. Prouty, E. Hardin, B. Arnold, E. Kalinina, W.P. Gardner, M. Bianchi, H.H. Liu, and J. Birkholzer 2013. *Generic Disposal System Model: Architecture, Implementation, and Demonstration.* FCRD-UFD-2012-000430 Rev. 1, SAND2013-1539P. Sandia National Laboratories, Albuquerque, New Mexico.