07/15/2011 Rev. 3 FCRD-TIO-2010-000005

FCRD Technical Integration Office (TIO) DOCUMENT NUMBER REQUEST TRANSMITTAL SHEET

| | | | | 1. Dod | cument Inf | ormation | | | |
|---|---------------------------------------|---------------------------------------|---------------------------------|------------------|-------------------|-----------------|-------------------------|-------------------|------------|
| Document Title/Description: Assigned Document Number: | | | Mid-Year Status Report | | | | Revision: Effective Sta | 0 ort Date: 03 | |
| | nent Author/Creat | | R. T. Jubin | | | | OR | it Date. US | 1127112 |
| 1 | nent Owner: | | | | | - | Date Range: | ' ' | |
| Originating Organization: | | ORNL | | | | From: | | То: | |
| | | | | | | | | | |
| Milesto | | | ☐ M1 | ☐ M2 | ☐ M3 | | ☐ Not a Miles | stone | |
| i | ne Number:: | | M4FT-12O | R0802041 | | | | | |
| i | Package WBS Nu | | 1.02.08.02 | | | | | | • |
| Contro | lled Unclassified | Informati | on (CUI) Typ | oe 🖾 None | □ ouo □ | AT ☐ Othe | er | - | |
| FCRD | SYSTEM: | | | | | | | | |
| | | Advance | | | | | | | |
| | | | | counting, and | Control for Trans | mutation | | | |
| | | Fuel Reso | | | | | | _ | |
| | | | y and Safety | | | | | | |
| | | · · · · · · · · · · · · · · · · · · · | ns and Waste | Forms | | | | _ | |
| | | System A | | | | | | _ | |
| | | | ngineering | | | •••• | | _ | |
| | | | Integration | | | | | _ | |
| | <u> </u> | | ation Technological Disposition | ogy | | | | 4 | |
| | | OSEG FUE | Disposition | | | | | - | |
| | | | | | | | | - | |
| | ☐ NEAMS | Nuclear E | nergy Advanc | ed Modeling a | nd Simulation | | | 1 | |
| | · · · · · · · · · · · · · · · · · · · | | evelopment | | | | | | |
| | REAC | Reactor C | ampaign | | | | | | |
| | | | 2. Re | cords M | anagemen | t Require | ments | | , |
| Catego Keywor | • | al Record | | QA Record | ☐ Cor | trolled Doc | ☐ Controlle | d QA Doc | |
| Medium | | ру 🗆 (| DD/Disk (eac | ch CD/Disk m | nust have an att | ached index) | ☐ Electronic: | | |
| Total N | umber of Pages (| - | - | | | , | | **** | |
| IF QA F | · · | | | <i>y</i> <u></u> | | | | | |
| | ssification: |] Lifetime | ∏ Non- | -Permanent | | | | | |
| | n Filing Code: | 8402 | | position Aut | thority: RD1- | a-2 Rete | ention Period: | | · |
| Special | Instructions: | | | | | | N 198 U . | , | |
| | ÷ | | | | | | | | |
| | | | | 3 | . Signatur | es | | | |
| SENDE | | uhin | | £ | > 1 | \supset | | | 02/20/2012 |
| R. T. Jubin Print/Type Sender Na | | | me Sender Signature | | | | | | 03/29/2012 |
| QA REC | ORD VALIDATO | | 16 | | Send | ier Signature | | | Date |
| • | | | | | | | | | |
| Print/Type Authenticator Nam | | | lame | | Authent | icator Signatur | е | | Date |
| ACCEP. | TANCE/RECEIPT | Γ: | | | | | | | |
| | Print/Type Rec | eiver Nar | ne | | Recei | ver Signature | | | Date |
| SI !4 | 4 OI- D-4 | | | | | | | | |

Submit to: Connie.Bates@inl.gov

FCT Quality Assurance Program Document

Appendix E FCT Document Cover Sheet

| | TCT Docume | | Silect | | | | |
|---|--|--|--|-----------------|--|--|--|
| Name/Title of Deliverable/Milestone | Mid-Year Status | s Report | | | | | |
| Work Package Title and Number | ST T&E Capability Development - ORNL FT-12OR080204 | | | | | | |
| Work Package WBS Number | 1.02.08.02 | | Milestone Number | M4FT12OR0802041 | | | |
| Responsible Work Package Manager | | | | | | | |
| Bob Jubin | | | 03/29/12 | | | | |
| (Name/Signature) | | | (Date Submitted) | | | | |
| Quality Rigor Level for Deliverable/Milestone | <i>z</i> -3 | QRL-2 | QRL-1 Nuclear Data | □ N/A* | | | |
| This deliverable was prepared in accordance QA program which meets the requirem DOE Order 414.1 | | | n/ORNL nt/National Laborato her: | ry Name) | | | |
| This Deliverable was subjected to: ☐ Technical Review | | Peer R | leview | | | | |
| Technical Review (TR) | | Peer Revi | ew (PR) | | | | |
| view Documentation Provided Review Docume | | ocumentation Provi | ded | | | | |
| Signed TR Report, or | | Signed PR Report, or | | | | | |
| TR Report No.: | | PR Report No.: | | | | | |
| Signed TR Concurrence Sheet (att | * * | Signed PR Concurrence Sheet (attached), or | | | | | |
| Signature of TR Reviewer(s) below | W | ☐ Signature of PR Reviewers below | | | | | |
| Name and Signature of Reviewers | | | | | | | |
| Electronic record attached | | | | | | | |
| | | _ | | | | | |
| | | | | | | | |
| (Name/Signature) | | (Dat | te) | | | | |

*Note: In some cases there may be a milestone where an item is being fabricated, maintenance is being performed on a facility, or a document is being issued through a formal document control process where it specifically calls out a formal review of the document. In these cases, documentation (e.g., inspection report, maintenance request, work planning package documentation, or the documented review of the issued document through the document control process) of the completion of the activity along with the Document Cover Sheet is sufficient to demonstrate achieving the milestone. QRL for such milestones may also be marked N/A in the work package provided the work package clearly specifies the requirement to use the Document Cover Sheet and provide supporting documentation.

Publication List Page 1 of 2



Publication Tracking System > Publication List

 $View \ Record \quad \hbox{$($Logged in as Jubin, Robert Thomas)}\\$

This List: Publication List

| Pub ID | 35686 | | | | | | | | | |
|---|---|--|---|---|--------------------------------|--|--|--|--|--|
| Γitle | Milestone Report - M4FT-12OR0802041 Mid Year Status Report | | | | | | | | | |
| Status | Awaiting Distribution | | | | | | | | | |
| Communication Type | Letter report | | | | | | | | | |
| ORNL Review? | | | | | | | | | | |
| Information Category | Unlimited Unlimited | | | | | | | | | |
| ORNL Report Classification | | | | | | | | | | |
| Contact Person | Jubin, Robert Thomas | | | | | | | | | |
| Responsible Organization | Fuel Cycle & Isotopes Division (50396137) | | | | | | | | | |
| Prepared at This scientific communication is being prepared by someone at ORNL. | | | | | | | | | | |
| Document Access | | Available to the internal PTS users at ORNL. | | | | | | | | |
| Authors | Jubin, Robert Thomas Howard, Rob L | ORNL (18682) ORNL (977937) | | | | | | | | |
| Workflow | 03/26/2012 17: 31: 58 03/26/2012 17: 35: 42 03/26/2012 17: 35: 42 03/27/2012 08: 15: 46 03/27/2012 09: 02: 21 03/28/2012 09: 00: 25 03/29/2012 12: 20: 23 03/29/2012 12: 20: 23 | Draft Author Certification Submitted for review Supervisor Classification/Export Control Technical Reviewer Supervisor Division Approver Awaiting Distribution Waiting on contact person to in Distributed | Jubin, Robert Thomas Jubin, Robert Thomas Jubin, Robert Thomas Jubin, Robert Thomas Birdwell Jr, Joseph F by Johnson, Brenda Jeffers Hamrin, David Robert Scaglione, John M Birdwell Jr, Joseph F by Johnson, Brenda Jeffers Icenhour, Alan S by Puckett, Linda J Icenhour, Alan S by Puckett, Linda J Inark as 'Distributed'. Jubin, Robert Thomas | Approved Approved Recommended Approved Approved | View Comments View Comments | | | | | |
| Document | M4FT-12OR0802041 Mid Year Status Report - Letter rev 5.doc Important: Documents containing sensitive information should not be loaded into PTS. For further clarification, please contact ORNL's Technical Information Officer. | | | | | | | | | |
| Abstract | | | | | | | | | | |
| Report Number | ORNL/LTR-2012/121 | | | | | | | | | |
| Secondary ID Number | | | | | | | | | | |
| Additional Information | _ | | | | | | | | | |
| Distribution Month | | | | | | | | | | |
| Distribution Year | | | | | | | | | | |
| User Facility | ty Not related to a user facility | | | | | | | | | |
| Account Number(s) | per(s) 35304810 | | | | | | | | | |
| B&R Codes | AF5865000 | | | | | | | | | |
| IANs | | | | | | | | | | |
| FWPs | NEAF346 | | | | | | | | | |
| Overhead Categories | | | | | | | | | | |
| | | | | | | | | | | |

OAK RIDGE NATIONAL LABORATORY

MANAGED BY UT-BATTELLE FOR THE DEPARTMENT OF ENERGY

Post Office Box 2008 Oak Ridge, TN 37831-6243 Phone: (865) 574-4934 Fax: (865) 574-6872 E-mail: jubinrt@ornl.gov

ORNL/LTR-2012/121

March 29, 2012

To: Ken Sorenson

From: Robert T. Jubin

Subject: Completion of the ORNL Fuel Cycle Research and Development (FCR&D) Level 4 Milestone – ST T&E Capability Development-ORNL FT-12OR080204, MS# M4FT-12OR0802041, "Mid-Year Status Report," due 3/30/2012

This letter documents the completion of the FCR&D Level 4 milestone for the ST T&E Capability Development - ORNL work package (FT-12OR080204), "Mid-Year Status Report" (M4FT-12OR0802041), due March 30, 2012. A letter report describing the activities of ORNL personnel on the T&E Capability Development Teams efforts is attached to this memo.

If you have any questions, please contact me at (865) 574-4934, Rob Howard at (865) 241-5750 or John Wagner at (865) 241-3570.

cc: C. V. Bates (INL)

R. L. Howard

D. K. Jensen (INL)

M. Nutt (ANL)

M. C. Vance

J. C. Wagner

Letter report of the ORNL activities supporting Storage and Transportation Testing and Evaluation Capabilities Development task within the DOE-NE Fuel Cycle Technologies' Used Fuel Disposition Campaign

The objectives of this task are to field near-term tests that address the issues needed to support the development of the technical justification for extended storage and subsequent transportation. In order to expedite deployment of these tests, it is expected that collaboration with industry will be conducted to maximize efficiencies in obtaining material, storage and transportation components, and siting facilities for the field tests. The focus of this field testing assessment will be on testing that requires platforms outside a National Laboratory or hot cell.

This task will use the Used Fuel Disposition Campaign *Gap Analysis to Support Extended Storage of Used Nuclear Fuel FCRD-USED-2011-000136* to identify issues that can be addressed and deployed in the near-term. In addition, alignment with the recommendations contained in the *Blue Ribbon Commission on America's Nuclear Future – Report to the Secretary of Energy* report (January 2012) will also be considered as the work progresses.

This task will consist of a multi-year, multi-lab effort, investigating a range of issues pertaining to data collection to support development of the technical basis for extended storage and subsequent transportation. Major task elements that are being addressed in FY2012 are:

- Collaborate with industry to assess actual atmospheric environments associated with storage facilities sited on, or near the coast.
- Collaborate with industry to field SS test coupons and to develop inspection techniques to gather data on realistic corrosion rates of SS canisters in marine environments in actual storage conditions.
- Collaborate with industry to assess the feasibility of siting a storage cask on an existing commercial independent spent fuel storage installation (ISFSI) site (or alternative site), with high burn-up fuel, to begin the T-subzero clock on long term storage.
- Continue to assess Nuclear Regulatory Commission (NRC) Request for Additional Information (RAIs) on licensee submittals.
- Conduct assessment of deployment of a consolidated storage facility, as recommended by the BRC.

Oak Ridge National Laboratory (ORNL) efforts are focused on supporting the regulatory analysis associated with fielding a storage platform at an existing commercial ISFSI site. This includes working closely with other DOE national laboratories to assess and consult with on issues such as: regulatory feasibility of container penetrations for monitoring; compatibility with 10CFR72.48 restrictions; potential for future transport of the storage cask; as well as others as necessary. ORNL will also provide support to the Electric Power Research Institute (EPRI)/ Extended Storage and Collaboration Program (ESCP) committee activities and to Savannah River National Laboratory (SRNL) on the development of functional requirements for

consolidated storage. ORNL will also explore the availability of relevant data on comparable materials in long term service that could compliment the planned coupon tests.

10CFR72.48 specifically focuses on changes, tests and experiments involving spent fuel storage casks or fuel storage facilities. The review of this regulation has identified a number of points that must be considered as part of the experimental design process. Captured below are several of the key requirements of this regulation:

- (c)(1) A licensee or certificate holder may make changes in the facility or spent fuel storage cask design as described in the Final Safety Analysis Report (FSAR) (as updated), make changes in the procedures as described in the FSAR (as updated), and conduct tests or experiments not described in the FSAR (as updated), without obtaining either:
 - (i) A license amendment pursuant to § 72.56 (for specific licensees) or (ii) A CoC amendment submitted by the certificate holder pursuant to § 72.244 (for general licensees and certificate holders) if:
 - (A) A change to the technical specifications incorporated in the specific license is not required; or
 - (B) A change in the terms, conditions, or specifications incorporated in the CoC is not required; and
 - (C) The change, test, or experiment does not meet any of the criteria in paragraph (c)(2) of this section.
- (c)(2) A specific licensee shall obtain a license amendment pursuant to § 72.56, a certificate holder shall obtain a CoC amendment pursuant to § 72.244, and a general licensee shall request that the certificate holder obtain a CoC amendment pursuant to § 72.244, prior to implementing a proposed change, test, or experiment if the change, test, or experiment would:
 - (i) Result in more than a minimal increase in the frequency of occurrence of an accident previously evaluated in the FSAR (as updated);
 - (ii) Result in more than a minimal increase in the likelihood of occurrence of a malfunction of a system, structure, or component (SSC) important to safety previously evaluated in the FSAR (as updated);
 - (iii) Result in more than a minimal increase in the consequences of an accident previously evaluated in the FSAR (as updated);
 - (iv) Result in more than a minimal increase in the consequences of a malfunction of an SSC important to safety previously evaluated in the FSAR (as updated);
 - (v) Create a possibility for an accident of a different type than any previously evaluated in the FSAR (as updated);
 - (vi) Create a possibility for a malfunction of an SSC important to safety with a different result than any previously evaluated in the FSAR (as updated);
 - (vii) Result in a design basis limit for a fission product barrier as described in the FSAR (as updated) being exceeded or altered; or (viii) Result in a departure from a method of evaluation described in the FSAR (as updated) used in establishing the design bases or in the safety analyses.

(d)(2) The licensee and certificate holder shall submit, as specified in § 72.4, a report containing a brief description of any changes, tests, and experiments, including a summary of the evaluation of each. A report shall be submitted at intervals not to exceed 24 months.

(d)(6)(ii) A specific licensee using a spent fuel storage cask design, approved pursuant to subpart L of this part, shall provide a copy of the record for any changes to a spent fuel storage cask design to the applicable certificate holder within 60 days of implementing the change.

Ideally the FSAR for the systems being considered will be broad enough to allow any required modifications and planned testing to fall under § 72.48(c)(1) otherwise a license amendment under the requirements of § 72.56 will be required. Before this can be determined, the specific tests and systems modification must be understood and the FSAR for the specific systems under consideration must be reviewed.

ORNL staff attended the ESCP meeting at the EPRI offices in Charlotte, NC on December 6-8, 2011, which is being coordinated by EPRI. ESCP consists of a group of organizations representing the nuclear industry, federal government, national laboratories, and suppliers of used fuel dry storage systems—to investigate aging effects and mitigation options for the extended storage and transportation of used/spent nuclear fuel and high level waste (HLW). The program intent is to coordinate efforts in developing the technical bases to ensure safe, extended used fuel storage (greater than 60 years) and future transportability. The primary focus of the December 2011 meeting was to review recently completed gap analyses conducted by EPRI, the Department of Energy, US Nuclear Regulatory Commission, and US Nuclear Waste Technical Review Board. It was noted that the DOE, EPRI, and NRC all identified gaps related to the condition of the welded canisters as a high priority. While DOE identified gaps related to the condition of the used fuel at the time of transport as a high priority, both EPRI and the NRC generally ranked these issues as a medium priority. In addition to reviewing the various gap analyses and research priorities, preliminary plans and approaches for coordinating efforts related to in situ inspection of storage canisters for signs of corrosion and data collection on marine environments was also discussed.